

VT CAPITAL MARKET PRIVATE LIMITED

(Formerly Varun Tradecom Pvt Ltd)

Registered Office: C/o Abhay Transformers Pvt Ltd, O T Road Angargadia, Balasore-756001

Dealing Office: 27AB Royd Street, Kolkata-700016

Client Acceptance Policy

The company shall follow a policy of filtering the account opening requests of the client. The decision of accepting or not accepting is dependent upon different factors discussed hereinabove including risk analysis. The broad client acceptance policy is stated hereunder:

- a) Clients under high-risk category, in general, will not be accepted. However, risk analysis chart or working may be placed or discussed before compliance officer, who shall take a final decision in this regard.
- b) Clients attempting to open account in a fictitious/benami name or on an anonymous basis shall not be accepted.
- c) Clients failing to procedure required documentary evidences shall not be accepted.
- d) Client shall not be accepted where the company is unable to apply appropriate clients due diligence measures/KYC policies. This may be applicable in cases where it is not possible to ascertain the identity of the client, information provided to the company is suspected to be non genuine, perceived non cooperation of the client in providing full and complete information.
- e) No flying clients are to be entertained.

