

VT CAPITAL MARKET PRIVATE LIMITED

Registered Office: 27AB Royd Street, Ground Floor, Kolkata-700016
Member-NSE-14759, BSE-4020 & MCX-35970 SEBI Reg no: INZ000168637

GUIDELINES/ POLICY FOR IDENTIFICATION OF BENEFICIAL OWNER

The basis of this policy is

- a) SEBI guidelines in respect of KYC requirements
 - b) SEBI guidelines in respect of PMLA
- A. In case of clients who is a corporate, AOP or Partnership to take proper identification documents in respect of individuals who:-
- i) Have stake/ interest of 25% or more in case of a corporate.
 - ii) 15% or more in case of partnership and AOP.
 - iii) In case there is no natural person or individual identified with above conditions, then to take proper identity documents of senior managing official.
- B. In case of trusts, to take proper identity and other KYC documents of persons having 15% or more beneficial interest.

